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TEX CO	ATINGS	
ers and/or e ements for been found Further of s, in comb	thers and/or ether-esters, and saturated hydroxyl conventionally employed volatile amines and/or a to enable the production of economical, low nhancement may be had by substitution of hypenation with the aforementioned nonvolatile reactions.	bearing etherified and/or ammonia as neutralizers, to no VOC acrylic and ersurfactants, in place of
	ATEX COAnd hydroxy rs and/or elements for obeen found. Further es, in combi	A2 (43) International Publication Date: (US97/24224 (81) Designated States: AU, BR, CA, HU, SG, TR, US, European patent (AT, FI, FR, GB, GR, IE, IT, LU, MC, International Search report

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LOW ENVIRONMENTAL TOXICITY LATEX COATINGS

1 Background of The Invention:

- The use of ammonia and/or volatile amines as neutralizing
- 3 agents and/or stabilizers, and of alcohols, glycols, and glycol
- 4 monoethers and monoesters, often in combination, at levels to 40%
- 5 by volume (exclusive of water) has been employed for more than
- 6 fifty years to achieve the coalescence of latex solids in acrylic,
- 7 polyvinyl acetate and related copolymer resins based coatings.
- 8 The volatilization of these conventional neutralizers, and
- 9 coalescing components, after achieving film coalescence is
- 10 normally required in order to inhibit the resultant film's
- 11 breakdown (reversion) in the presence of humid environments, and
- 12 to provide acceptable wear and stain resistance to the dried film.
- 13 Recent concerns regarding the environmental degradation
- 14 (predominantly low level ozone formation), and the health and fire
- 15 hazards associated with exposure to ammonia, volatile amines and
- 16 volatile organics (VOCs), has led to increasingly strict
- 17 regulatory limitations on the nature, and proportions, of VOCs
- 18 which may be employed in coatings. One technique that has been
- 19 employed in order to comply with said limitations in latex coating
- 20 applications is the development of self coalescing latex resins
- 21 which require no coalescents. However, to date, such materials
- 22 have had the disadvantage of being limited to low Tg film formers
- 23 with poor performance properties.

24 Subject of The Invention:

- This invention teaches the use of low levels of combinations
- 26 of nonvolatile reactive amines, in combination with hydroxyl

- 1 bearing unsaturated esters and/or ethers and/or ether-esters,
- 2 and/or non-hydroxyl bearing unsaturated esters and/or ethers
- 3 and/or ether-esters and incompletely etherified and/or esterified
- 4 oligomeric glycols and/or oligools as partial or full replacements
- 5 for conventionally employed volatile amines and/or ammonia as
- 6 neutralizers, and organic solvents as coalescents, respectively,
- 7 in latex resin applications. This invention has the advantage of
- 8 reducing emissions and enhancing the performance of films produced
- 9 from conventional latex resins, and when employed in conjunction
- 10 with certain types of hypersurfactants (cf. Table 5) also
- 11 upgrades pigment and/or extender dispersion, and reduces grind
- 12 times in particulate containing variants; thus enhancing plant and
- energy use efficiencies. Synergistic performance enhancement, and
- 14 VOC reduction in latex resins may be attained via the employment
- of the aforementioned technologies in combination. Partial
- 16 replacement of either or of both of the aforementioned components
- 17 by the alternatives of this invention is shown to provide lesser,
- but still desirable benefits.

Preferred Embodiment of The Invention 19

- The non-volatile reactive amines useful in the practice of 20
- this invention have vapor pressures below 0.1 mm Hg at 25°C, 21
- 22 contain at least one basic nitrogen, and at least one carbon to
- 23 carbon double bond, and/or a transition metal ligand, and contain
- 24 no more than twelve carbon atoms per basic nitrogen atom.
- 25 more preferable contain one or more (meth) acryl and/or N-vinyl
- ligands, and those most preferable have a water solubility

1 exceeding 2% at 25°C. Specific examples of such useful non-2 volatile reactive amines are given in Table (1). These examples 3 are intended to be illustrative rather than exhaustive of the 4 scope of useful materials. 5 TABLE I 6 (1A) N-vinyl pyrrolidone 7 N,N,N'-tris (2-butenyl), ethylene diamine (1B) (1C) N', methyl-1, 3-propylene diamine mono 2- propenamide 9 N, 2-propenyl, bis (2-hydroxy)propyl amine 10 (1D) (1E) N, 2-propenyl, N'- (2-hydroxy)ethyl, hexamethylene 11 triamine 12 (1F) 4-(N, 3-hydroxypropyl, N-vinyl) 2-amino ethyl 2-butenoate 13 (1G) 2-[N,-(2-oxa-cyclopentadienyl)] amino acetic acid ethyl 14 15 ester 4-(N,N bis vinyl) 1,3-pentanediol 16 (1H) tetraethylene glycol mono 3-(N, ethyl) amino, 2-(methyl) (1I) 17 2-propenoate 18 N, N-divinyl glutamic acid 2-propenyl ester 19 (1J)6-(N,N bis vinyl) hexanoic acid ethyl ester 20 (1K) Titanium IV tetrakis N, 2-aminoethyl ethanolato (1L) 21 The preferred types of the hydroxyl bearing, unsaturated 22 esters and/or ethers and/or ether-esters useful in the practice 23 of this invention are those having vapor pressures below 0.1 mm 24 Hg at 25°C, which are capable of air initiated, oxidative 25

oligomerization and/or polymerization derived non-reversible

26

- bonding, under normal latex application conditions, to film 1
- component(s) and/or to substrate, in order to maximize coating 2
- properties via crosslinking the resulting latex thereby 3
- minimizing its (post film formation) environmental sensitivity. 4
- Examples of such unsaturated esters and ether and ether-esters as 5
- are useful in the practice of the instant invention are provided 6
- in Table II. These examples are intended to be illustrative 7
- rather than exhaustive of the scope of useful materials. 8

Table II 9

- (2A) trimethylol propane bis (2-methyl)-2-propenoate ester 10
- (2B) sorbitan tetrakis 2-butenoate ester 11
- (2C) bis pentaerethyritol 2-propenolato, tris 2-propenoate ester 12
- (2D) hexanoic acid 6-hydroxy, (2-propenoato)ethyl ester 13
- (2E) citric acid mono isodecenyl ester 14
- (2F) malic acid bis cinnamyl ester 15
- (2G) 3-heptanoyl furfuryl alcohol 16
- (2H) 1,2,3-propanetriol 1-vinyl ether, 2-phenyl carboxylate ester 17
- (2J) trimeric 2-butenediol mono (methyl) glutarate ester 18
- (2K) ethoxylated (4) bis phenol A mono 2-propenoate ester 19
- The preferred types of the non-hydroxyl bearing unsaturated 20
- esters and/or ethers and/or ether-esters useful in conjunction with 21
- the practice of this invention are those having vapor pressures 22
- below 0.1 mm Hg at 25°C, which are capable of air initiated 23
- oxidative oligomerization and/or polymerization, and non-reversible 24
- bonding, under normal latex application conditions, to film 25
- component(s) and/or to substrate, in order to maximize coating 26

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- properties via crosslinking the resulting latex thereby minimizing 1
- its (post film formation) environmental sensitivity. Examples of 2
- such non- hydroxyl bearing unsaturated esters and ether and ether-3
- esters as are useful in the practice of the instant invention are
- provided in Table III. These examples are intended to be 5
- illustrative rather than exhaustive of the scope of useful 6
- materials. 7

Table III 8

- (3A) trimethylol propane bis (2-methyl)-2-propenoate ester, mono 9
- vinyl ether 10
- (3B) 1,2,3-propane triol tris 2-butenoate ester 11
- (3C) penta erethyritol 2-propenolato, tris 2-propenoate ester 12
- (3D) hexanoic acid 6-acetoxy, (2-propenoato)ethyl ester 13
- (3E) fumaric acid bis isodecyl ester 14
- (3F) maleic acid bis cinnamyl ester 15
- (3G) furoic acid vinyl ester 16
- (3H) 1,2,3-hexanetriol 1,2-bis vinyl ether, phenyl carboxylate 17
- ester 18
- (3J) trimeric 2-butene-1,4-diol bis propionate ester 19
- (3K) ethoxylated (4) bisphenol A bis 2-(methyl)-2-propenoate ester 20
- The preferred types of the incompletely etherified and/or 21
- esterified oligomeric glycols and/or oligools useful in the 22
- practice of this invention are those having vapor pressures below 23
- 24 0.1 mm Hg at 25°C, which are oligomers of two to 4 carbon diols,
- and of three to six carbon triols, wherein each of the ether and/or 25
- ester ligands contains five or fewer carbon atoms per oxygen. 26

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- 1 Examples of such incompletely etherified and/or esterified
- 2 oligomeric glycols and/or oligools as are useful in the practice of
- 3 the instant invention are provided in Table IV. These examples are
- 4 intended to be illustrative rather than exhaustive of the scope of
- 5 useful materials.

6 Table IV

- 7 (4A) penta (ethylene glycol) mono methyl ether
- 8 (4B) tetra (1,4-butylene glycol) mono (2-methyl) butyrate
- 9 (4C) 1-hydroxy-2,5-bis methyl-3,6,9,12-tetraoxa tetradecane
- 10 (4D) 4-oxaheptane-1,2,6,7-tetraol mono acetate, mono 2-propyl
- 11 ether (mixed isomers)
- 12 (4E) ethoxylated (6) 1,2,4-butanetriol bispropanoate (mixed
- isomers)
- 14 (4F) tris 1,2,5-n pentane triol tetraethyl ether (mixed isomers)
- 15 (4G) tris (1,3-propane-diol) mono isopentyl ether
- 16 (4H) 1,2- bis (2- hydroxy ethoxy ethyl) 1,2,3-propane triol
- 17 (4J) polyethylene glycol (300) mono amyl ether
- 18 (4K) tris neopentyl glycol mono n-propyl ether
- 19 The surfactants most useful in the practice of this invention
- 20 are those having vapor pressures below 0.1 mm Hg at 25°C, which are
- 21 capable of non-reversible bonding, under normal processing
- 22 conditions, to film component(s) and/or substrate in order to
- 23 maximize coating properties, while minimizing post film formation
- 24 environmental sensitivity, and which serve to efficiently wet
- 25 substrates coated, and to disperse particulates, if any, employed
- 26 in the formulated latex coating. Among the surfactants found to be

- useful in the practice of this invention are amphoteric detergents, 1
- and certain organometalics based on tetravalent titanium or 2
- zirconium. These last have been found to contribute significantly 3
- to substrate adhesion and improved corrosion resistance on wood, 4
- metalic and ceramic substrates, and to be particularly useful in 5
- maximizing color intensities of carbon black, azo and 6
- phthalocyanine based pigments. Specific examples of the preferred 7
- types of hypersurfactants are given in Table V. These examples are 8
- intended to be illustrative rather than exhaustive of the scope of 9
- useful materials. 10

Table V 11

- (5A) 12-N, N, N-trimethylaminododecanoato 12
- (5B) N-(pentakis oxyethylene sulfato) triethylene diamine 13
- (5C) p-[6-N(methyl) morpholino]octyl phenyl phosphonic acid 14
- (5D) N,N,N-triethyl glutamic acid 15
- (5E) titanium 4 octyl, [(tris octyl) diphosphato 16
- (5F) titanium 4 oxoethylene, bis (dodecyl) phenylsulfonato 17
- (5G) oxy [bis titanium 4 (bis tridecyl) diphosphate] 18
- (5H) zirconium 4 tetraethylene glycol monomethyl ether, tris 19
- (tetraethylene glycol monomethyl ether) diphosphato 20
- (5J) zirconium 4, bis w-N, N-(dimethyl) amino octanoato, 1,4-21
- cyclohexanediolato 22
- (5K) triethylene glycol diolato, bis [zirconium 4 tris (octyl) 23
- phosphate] 24
- Those skilled in the art shall no doubt be capable of 25
- subverting the teachings of this invention via the substitution of 26

- 1 functionally equivalent materials, e.g. employment in combination
- of hydroxylated and non-hydroxyl bearing esters (ether-esters)
- 3 optionally in combination with partially esterified and/or
- 4 etherified glycols, and or polyols, in place of either component
- 5 (set) alone, (as is amply demonstrated in example No. 4.), or of
- 6 unsaturated analogous unsaturated amides, for a portion of the
- 7 aforementioned unsaturated ethers, esters or ether-esters disclosed
- 8 above, and/or fluorination of one or more of the species of
- 9 components heretofore described as necessary to the successful
- 10 practice of this invention; however such non-critical
- 11 modifications, and/or combinations of relevant species types, must
- 12 be considered as within the scope of this disclosure.
- 13 Further amplification of the scope and utility of the instant
- 14 invention to latex coating applications in inks, paints and stains
- shall be found to be illustrated by the content(s) of examples 1
- through 5. Said examples are intended to be illustrative rather
- 17 than exhaustive of the extraordinarily diverse applicability of the
- 18 instant invention.

- This example teaches the superiority of the present invention
- 21 versus the prior art with respect to the productivity, VOC
- 22 emissions, and performance quality in a masonry sealer application.
- 23 A masonry sealer formulation was prepared by the sequential
- 24 dispersion of the indicated components (pigment dispersion times
- 25 and grind quality achievement was noted). The resulting sealer was
- 26 applied via roller to smooth surface, ten day old, 8" X 18" X 1"

- thick concrete castings, at an application rate of one gallon per
- 400 square foot, dry time (to touch) was measured under conditions
- of 72° F and 85% humidity. After 240 hours of drying @ 72° F and
- 4 85% humidity, sealer performance was measured by weighing the dry
- 5 casting, then impounding a 6" depth of water, or alternatively 6%
- 6 salt solution, on such a casting for twenty four hours, then
- 7 draining and weighing the drained casting. The weight percent of
- 8 water, and independently that of 6% salt solution, adsorbed by said
- 9 castings were used to determine sealer efficacy. The results of
- this study are given in Table No. 1.
- 11 Formulation: in parts by weight; (in order of addition) water
- 12 200.0; neutralizer¹, as shown; surfactant^a, as shown, biocides²,
- 13 18.50; hydroxy ethyl cellulose, 5.00; potassium tris polyphosphate,
- 2.00; defoamer², 1.00; coalescent^a, as shown; ultramarine blue
- pigment, 0.25; rutile titanium dioxide, 200.0, American process
- zinc oxide, 25; platey talc, 250; water, 49.98; AC-625 Acrylic
- 17 latex resin³, 352.0; defoamer², 0.98; surfactant^b, coalescent^b, as
- shown,; water, 24.99; and sodium nitrite 2.30; thixotrope4, as
- shown (required) to adjust system viscosity to 85-90 KU at 75° F.

20		<u>Ta</u>	ble No. 1			
21	Formulation	<u>1</u>	<u>2</u>	<u>3</u>	4	<u>5</u>
22 23 24 25	neutralizer surfactant(a) coalescent(a)	amp-95/1.98 Tamol 850 ⁷ /14.85 Propylene glycol /34.56	1B/2.00 5A/1.80 none	1H/1.80 5E/1.75 none	1G/2.20 5K/1.55 4J/4.50	1D/1.78 5H/1.50 none
26 27 28	surfactant(b) coalescent(b)	Triton N1013/2.20 Texanol8/9.88	none 2A/16.42	5B/1.00 3A/12.60	none 3F/18.55	5D/1.25 2H/11.70

1 2 3	<u>Formulation</u>	<u>6</u>	7	. 8		<u>9</u>	<u>10</u>	
	neutralizer	ammonia/1.00 1L/1.00	1B/1.00 ammonia/1.0		.80 95/1.00	1G/1.20	1D/1.78	
4 5 6	surfactant (a) surfactant (b)	Tamol 850 ⁷ /14.85 Triton N101 ⁶ /2.2	5A/1.80	5E/0		5K/1.55 none	5H/0.75 5D/1.25	
7 8 9	coalescent (a)	Propylene glycol	l none	/2.2 none	_	4J/4.50	Propylene	glyco
10	coalescent (b)	/34.56 Texanol ⁸ 19.98	2A/16.42	3A/1	2.60	3F/18.55	/17.88 2H/5.85	
11 12 13	Formulation	VOC g/15	Dispersion	Grind	Wat	er	Salt water	
14 15		· · · · · ·	time hr.	Hegman	adsorpt	ion g.	adsorption g.	
16	1	124	3.4	4	46		61	
17	2	8	0.7	6	27		32	
18	3	3 5	0.6	6	21		28	
19	4	5	0.7	5	24		27	
20	5	4	0.6	6	25		30	
21	6	112	3.1	4	37		48	
22	6 7	10	0.7	6	32		42	
23	8	3	2.4	5	27		38	
24	9	6	0.7	5	24		27	
25	10	36	1.8	6	31		39	
	10	30	1.0	U	31		33	
26								
27		•	•					
28	Notes: a) As	shown; 1) A co	ombination	of 3.5	parts	of Nuos	sept 95,	
29	and 15 parts	of Nuocide 40	04D, Huls C	orp. we	ere emp	loyed;	2) Defo	

806-102; Ultra Inc. 3) AC-625, Union Carbide Corp.; 4) Rhevis CR, 30

Rhevis Corp.5) via EPA Method 24GC; 6) Rohm and Haas Corp.; 7) 31

Eastman Kodak Inc. 32

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The efficacy of the coalescent systems of the instant art in producing a less water and salt permeable, acrylic latex based masonry seal coating, is compared to a conventionally coalesced counterpart, Formulation No. 1, and is obvious from the preceding data. VOC emissions reduction and improvement in both productivity and dispersion level achieved are likewise self evident.

Example #2

This example teaches the superiority of the present invention 40 versus the prior art with respect to the productivity, VOC 41

emissions, and performance quality in a direct to metal, 1

- maintenance coating application. 2
- 3 Direct to metal coatings were prepared by the sequential
- dispersion of the indicated components (pigment dispersion times 4
- were noted). The resulting coating was spray applied to sandblasted 5
- smooth surface 24" X 8" carbon steel test panels at application 6
- rate of one gallon per 250 square feet. After 120 hours of drying 7
- @ 72° F and 85% humidity, edge sealing and scribing, the coatings'
- corrosion resistance performance were each measured by QUV cabinet 9
- exposure [cyclic exposure to UV radiation, 4% saline solution, and 10
- varying temperature (25°-80° C)]. 11
- in parts by weight (in order of addition): water, Formulation: 12
- 50.0; neutralizer, as shown; Surfactanta, as shown, biocide1, 4.00; 13
- oxidized polyethylene wax, 4.00; (disperse wax) polyurethane 14
- thixotrope², as shown; defoamer³, 2.00; coalescent^a, as shown, 15
- ultramarine blue pigment, 0.25; rutile titanium dioxide, 125; zinc 16
- aluminate 150; Acrylic latex resin4, 64.0; (disperse particulates 17
- to Hegman 7.5+). Neutralizer, is shown; acrylic latex resin4, 18
- 564.0; defoamer², 0.98; surfactant^b, coalescent^b, as shown; water, 19
- 16.00; arid sodium nitrate 2.30. Thixotrope2, (as required) to 20
- adjust system viscosity to 80-85 KU at 75° F . The control coating 21
- required 3.7 hours to disperse to a Hegman grind gauge reading of 22
- 7+, whereas each of the instant art coatings achieved said fineness 23
- of grind in less than one half hour. The results of this study are 24
- given in Table No. 2 25

1	Table No. 2							
2	<u>Formulation</u>		1	<u>2</u>	<u>3</u>	4	<u>5</u>	<u>6</u>
3 4 5	neutralizer	DMAMP	-80 ⁵ /12.5	1A/4.00	1C/1.80	1E/2.20	1J/1.78	DMAMP- 80 ⁵ /6.25 1A/ 2.00
5 6 7	surfactant(a)	Trito	n CF106/10.00	5D/1.80	5F/1.75	5C/1.55	5J/1.50	Triton F 10/5.00
8 9 10	thixotrope ² coalescent(a)		ylene glycol /34.60	5.00 4B/12.00	5.50 4E/10.5	8.70 4K/8.25	5.30 none	9.32 none
11 12	surfactant(b)	none		none	5A/1.00	Triton CF10 ⁶ .4.0	none	5D/1.25
13 14	coalescent(b)	PmPE ⁷ ,	44.60	2A/16.42 4A/7.45	3A/12.60	3F/18.55		2H/14.70
15 16	thixotrope ²	21.40		3.20	1.50	0.70	2.30	1.95
17	<u>Formulation</u>		<u>7</u>	· <u>8</u>	<u>9</u>	<u>10</u>	<u>11</u>	<u>12</u>
18 19	neutralizer	DMAMP	-80 ⁵ /12.5	1A/4.00	1C/1.80	1E/2.20	1J/1.78	DMAMP 80 ⁵ /6.25
20 21	surfactant (a)	Trito	n CF10 ⁶ /10.00	5D/1.80	5F/1.75	5C/0.55	5J/1.50	Triton CF10/5.00
22 23	surfactant (b)	none		none	5A/1.00	Triton CF10 ⁶ /2.0	none	5D/1.25
24	thixotrope ²	15.00	•	5.00	5.50	8.70	5.30	9.32_
25 26	coalescent (a)	Diprop /17.3	pylene glycol	PmPE' /22.60	4B/12.00	4E/10.5	4K/8.25	PmPE ⁷ /22.3
27 28	coalescent (b)	2A/ 8	.81	2A/ 6.42	PmPE ⁷	3F/ 18.55 4A/7.45	2H/14.70	2H/14.70
29 30	thixotrope ²	18.90		6.20	4.50	3.70	2.30	6.95
31								
32								
33 34	Formulation	VOC g/18	Initial glos	ss <u>60° g</u>	loss @	60° gloss	<u>e</u> 60° c	loss @
35 36			<u>860°</u>	200hr	. Qυv :	00 hr. QU	v. <u>1,000</u>	hr. QUV
37	1	232	82	7		31		estroyed
38 39	2	9 11	91 93	8°		82 88		76 80
40	4	8	87	8	-	82		61
41	5	10	88	8	б	85		84
42	6	13	84	81		66		59
43	7 8	72 57	85 80	79		46 51		12 18
44 45	9	28	80 87	84		72		53
46	10	7	88	80		84		78
47	11	8	92	9(ס	87	1	35
48	12	77	85	80)	75	4	18
49								

50 Notes: 1) Nuosept 95,-Huls Corp. 2) Acrysol RM 2020, Rohm and

Haas 3) Defo 3000; Ultra Inc. 4) HG 56, Rohm and Haas Corp. 5) 80%

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- 2-N, N-dimethylamino-2-methyl propanol aq. 6) Union Carbide Corp. 7) 1
- propylene glycol mono phenyl ether. 8) via EPA Method 24GC 2
- 3 The efficacy of the coalescent systems of the instant art in
- producing a more environmentally resistant, acrylic latex based 4
- direct to metal coating, as compared to a conventionally coalesced 5
- counterpart, Formulation No. 1, is obvious from the preceding data. 6
- VOC emissions reduction, and improvement in productivity achieved 7
- 8 are likewise self evident.

- This example teaches the superiority of the present invention 10
- versus the prior art with respect to productivity, VOC emissions, 11
- and performance quality in a polyvinyl acetate based interior flat 12
- architectural paint application. 13
- Interior flat paints, were prepared by the sequential 14
- dispersion of the indicated components (pigment dispersion times, 15
- and dispersion efficacy were noted). The resulting coating was 16
- brush applied to unprimed drywall (gypsum sheet) @ 72° F and 80% 17
- humidity, coverage, stain removal, and scrubability performance 18
- were each measured after 7 days of drying 72+\- 2°F @ 65-80% 19
- humidity. 20
- Formulation; in parts by weight; (in order of addition) water, 21
- 200.0; neutralizer1, as shown; surfactanta, as shown, biocides2, 22
- 1.00; hydroxy ethyl cellulose, as shown; potassium tris 23
- polyphosphate, as shown; defoamer³, 1.00; coalescent^a, as shown; 24
- ultramarine blue pigment, 0.25; rutile titanium dioxide, 250.0, 25
- water washed clay⁴, 50.0; calcium carbonate⁵, as shown; diatomite⁶, 26

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- 50.0; water, 49.98; PVA latex resin⁷, 352.0; defoamer², 0.98;
- 2 coalescent^b, as shown; water, 100 .0; and sodium nitrite 2.30;
- 3 thixotrope⁸, as shown (required) to adjust system viscosity to 90-
- 4 100 KU at 75° F.

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5 The results of this evaluation are shown in Table No. 3.

6	·		Ta	ble No.	3			
7	Formulation		1	2	<u>3</u>	4	<u>5</u>	<u>6</u>
8 9	neutralizer		28% ammonia aq 6.05	. 1B/2.00	1H/1.80	1G/2.20	1D/1.78	28% ammonia 6.05
10 11 12 13	HEC (QP-4400) surfactant (a		5.50 Tamol 731/6.90 Triton N101/3.3		1.35 5J/1.75	1.25 5C/1.55	1.40 5F/1.5	1.35 Tamol 731/6 Triton N101/3.31
14 15	coalescent(a	.)	Propylene glyco/51.95		4 F/7.00	4H/5.50	4E/7.00	
16 17 18 19 20	Calcite coalescent(b thixotrope)	Texanol/9.88 50 none 3.5	150 2A/26.4 3.0	125 3C/12.60 3.1	150 3F/18.50 2.7	140 2J/11.90 2.4	50 2J/11.90 3.9
21	Formulation		<u>7</u>	<u>8</u>		<u>9</u>	10	2
22 23	neutralizer		28% ammonia aq.	. 1B/2.00)	1H/1.80	1H/1	80
24 25 26	HEC (QP-4400 surfactant(s)	5.50 Tamol 731/ 6.9 Triton N101/ 3.	.31 5J/1.0	31/ 3.9	1.35 5J/ 1.75	Trit	ol 731/ 6.9 con N101/ 3.
27 28	coalescent (a,	Propylene glyco 51.95 50		5	Propylene gl		.50
29 30 31 32	calcite coalescent (thixotrope ⁸	b)	4E/2.00 3.5	100 2A/ 26. 3.0	4	125 3C/ 12.60 3.1	150 3F/ 2.7	18.50
33 34 35	Formulation	VOC g/l	9 Dispersion T	ime <u>Grind</u> Hegman	Min.Coale		ubs ¹⁰ Stai	n Removal ¹¹
36 37 38	1 2	199 8	2.4 0.4	4 5	47		410 740	6 9
39 40	3	3 5	0.6 0.6	6	32	2,		10
41 42	5	4 15	0.5 2.2	5 4	3(2,		10
43 44	7 8	190 9	2.4 0.9	4 5	43 35	1,	850 140	7 8
45 46 47	9	188 8	1.1	5 4	45 37		890 310	7 8
4/			2) }	05 777 -		6 200		

Notes: 1) As shown; 2) Nuosept 95, Huls Corp.; 3) Defo 3000; Ultra

49 Inc.. 4) 70C Huber Corp.5) Camel Carb., Cambel Corp.; 6) Diafil 530

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- 1 viscosity of 65-70 KU, and the coating was applied by curtain
- 2 coating on sanded but unprimed 4' X 8' X 0.25" laminate natural oak
- 3 (on pine) substrate. The coated panels were force dried by passing
- 4 same through a 180° F oven for 20 minutes, then cooled at ambient
- 5 temperature (ca. 80° F) for 24 hours prior to evaluation, for
- 6 abrasion and solvent resistance. to determine coating performance,
- 7 efficacy. The results of this study are given in Table No. 4.

8	Table No. 4									
9 10	Formulation Part A:	<u>1</u>	<u>2</u>	<u>3</u>	4	<u>5</u>	<u>6</u>	7	8	
11 12	neutralizer	28% ammonia	lA aq.	1H	1H	1H	18	1B	1B	
13 14 15 16	Part B: form Texanol diethylene g mono butyl e	8.00 lycol 18.20	٠.					4.00 9.10	4.00 9.10	
17 18	2A 2C	cher	12.05	6.00 4.40	10.70	6.00	6.00	6.00		
19 20 21	3B 4G 4J			1.90	2.90	4.20 1.05	6.20		6.00	
22 23 24 25	<u>Formulation</u>	VOC g/11 resistance ²	Abrasion resistance	_3 _	Solvent esistance	.4	Stair hr.@ 8	ر 20ج	Mix pot	life
25 26	1 2	165 3	114 31	_	lifts ght soft	-	poo	r	7.5 42	
27 28	3	4	29 24	sli	ght soft ght soft change		fai: excel	r	35 40	
29 30	5 6	3 3 4	26 98	no	change l. Soften:	ing	good	d	61 46	
31 32 33	7 8	83 85	19 78	sli	ght softe ere softe	ening	fai: poo:		21 11	

- 34 Notes: 1) By EPA Method 24GC; (formulations 2 through 7 produced
- 0 to negative VOC readings by EPA Methods 24, and 24A; 2) Tabor
- 36 CS-10 wheel 1000 cycles; 3) 24 hr methyl ethyl ketone covered
- 37 spot test; 4) 24 hr exposure to lipstick; 5) time to 10% loss of
- 38 abrasion resistance in finished coating.
- 39 This example demonstrates, that the use of the combination of
- 40 components cited as the basis of the instant invention, viz. That

- Whittaker, Clark, and Daniels Inc. 7) Rhoplex 3077, Rohm and Haas 1
- 2 Corp.; 8) Rhevis CR, Rhevis Corp. 9) via EPA Method 24GC. 10) ASTM
- 3 method; 11) ASTM method.
- The efficacy of the coalescent systems of the instant art in 4
- 5 producing a more, scrub and stain resistant PVA latex based
- interior flat architectural coating as compared to a conventionally
- coalesced counterpart, Formulation No. 1, is obvious from the 7
- preceding data. VOC emissions reduction and improvement in both 8
- productivity and dispersion level achieved are likewise self 9
- evident, as is a considerable reduction in minimum coalescence 10
- temperature, without recourse to the use of low boiling, flammable 11
- 12 solvent(s), normally employed to induce same.

- This example teaches the superiority of the present invention 14
- versus the prior art with respect to the productivity, VOC 15
- emissions, and performance quality in a force dried, clear, 16
- protective, two component acrylic latex cured waterborne epoxy, 17
- wood cabinet coating. 18
- Component A. neutralizing agent, as shown-3.5 PBW; sodium 19
- nitrite 0.15 and defoamer (Patcote 519-Patco Coatings Inc.) were 20
- 21 admixed with 95.85 PBW of (Acrylic latex-Maincote AE 58), and said
- emulsion was subsequently mixed with 50 PBW of Component B, 22
- formulated by blending various additives, as shown, into 12.5 PBW 23
- of Genepoxy 370-H55- Daubert Chemical Co., and diluting as 24
- necessary with water to produce a total part B weight of 25 parts. 25
- Thixatrope¹, was added, as required, to provide an initial mix 26

- 1 nonvolatile reactive amines, in combination with hydroxyl bearing
- 2 unsaturated esters and/or ethers and/or ether-esters, and/or non-
- 3 hydroxyl bearing unsaturated esters and/or ethers and/or ether-
- 4 esters and incompletely etherified and/or esterified oligomeric
- 5 glycols and/or oligools as (partial or full) replacements for
- 6 conventionally employed volatile amines and/or ammonia as
- 7 neutralizers, and organic solvents as coalescents, respectively may
- be employed to substantially enhance the processability (pot life),
- 9 mechanical and chemical resistance properties (abrasion and stain
- 10 resistance respectfully) as well as attain VOC reduction in wood
- 11 coatings. A further benefit of the instant invention as applied to
- wood coatings is that unlike conventionally coalesced waterborne
- coatings, e.g. formulation D-1 latex formulations based upon the
- teachings of this invention, e.g. formulations D-2 through D-7, do
- 15 not cause significant grain rise, thereby virtually eliminating the
- 16 necessity for intercoat sanding. These data also demonstrate that
- 17 subsets of the preferred combination of components herein disclosed
- 18 (e.g. formulations D-3,D-5, and D-6) may provide considerable
- 19 benefits relative to their conventional counterparts, such as
- 20 Formulation No. 1; however, omission of one or more of the
- 21 components of the combination herein disclosed leads to inferior
- 22 results as compared to the inclusion of the full compliment.

- This example teaches the superiority of the present invention
- versus the prior art with respect to the productivity, VOC
- 26 emissions, and performance quality in waterborne flexographic inks.

A latex flexographic ink formulation, was prepared by the

- 2 sequential dispersion of the indicated components (pigment
- 3 dispersion times and grind quality achievement was noted). The
- 4 resulting ink was applied via a #6 wire wound rod to bond paper,
- 5 and permitted to dry. Dry time (to touch) was measured under
- 6 conditions, of 72° F and 85% humidity. After 6 hours of drying 0,
- 7 72° F and 85% humidity, heat seal resistance performance (face to
- face) was measured at 25 psig. and 2 seconds contact time) the
- 9 results of this study are given in Table No. 5.

1

- 10 Formulation: in parts by weight; (in order of addition) E-2350
- 11 resin 267; neutralizer, as shown; surfactant, as shown; Defo 1020
- 12 defoamer 4.00 Ultra Inc.; coalescent^a, as shown; calcium lithol
- 13 pigment 50% presscake, 400-Sun Chemical Corp.; Michemlube wax 5-
- 14 Michelman Inc., water, as required in order to produce a viscosity
- of 27 seconds using a #2 Zahn cup. Relative dry ink color
- intensities were measured by integrating thin film reflectance
- 17 spectra at 300-600 um wavelengths using a spectrophotometer after
- 18 48 hours of drying at the above conditions

19	Table No. 5					
20	Formulation	<u>1</u>	2	<u>3</u>		
21 22	neutralizer	28% ammonia aq, 2.00	1D/2.00	IH/1.40		
23	surfactant	Tamol 850/4.95	5A/1.80	5E/1.75		
24	coalescent	isopropanol/48	2A/10.5	2E/20.0		
25		Texanol/24	3C/15.5			
26			4H/5.5			
27	Elation	A	E	6		
28	<u>Formulation</u>	4	<u>5</u>	<u>6</u>		
29	neutralizer	1F/2.00	1D/1.46	28% ammonia ag/2.00		
30	surfactant	5K/1.55	5H/1.50	Tamol 850/ 4.95		
31	coalescent	3C/25.0	3C/18.0	3C/18.0		
32			4H/7.0	4H/7.0		

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1 2 3 4	<u>Formulation</u>	VOC g/15	Dry to touch time hr.	6 hr.Heat seal resistance °C	Relative color intensity.
5	1	144	3.4	86	1.00
6	2	4	0.7	154	1.42
7	3	3	0.6	172	1.27
8	4	5	0.7	104	1.08
9	5	4	0.6	167	1.39
10	6	7	0.6	122	1.03
11					
12	Notes:	The efficacy	of the coalescent	evetome of the	instant

The efficacy of the coalescent systems of the instant 12

- 13 art in producing a faster drying, more strongly colored, and
- 14 lower VOC acrylic latex based printing ink coating as compared to
- a conventionally coalesced counterpart are obvious from the 15
- preceding data, as are indications that incomplete application of 16
- 17 the teachings of this disclosure may lead to inferior results.
- Note the deficiencies in the heat seal performance of formulation 18
- E-4 as compared to E-2, E-3, and E-5, the benefits of 19
- hyperdispersant use as indicated E-2 to E-5 vs. E-6. 20

- This example teaches the utility of the instant 22
- invention in the production of superior waterborne anti-scuff 23
- overprint coatings for graphic arts applications. 24
- A 30% solution of water reducible styrene-acrylic copolymer resin 25
- in water was prepared by admixture of the indicated neutralizing 26
- agent-as shown, water, and Air Product Corp's Flexbond 28 resin. 27
- Thirty parts by weight (PBW) of the preceding solution, were 28
- admixed with 50 PBW of styrene- acrylic latex resin (Flexbond 29
- 285, Air Products Corp.), coalescent, and surfactant(s) -as 30
- shown, poly ethylene wax, 2 PBW, and sufficient water to dilute 31
- the system to 100 PBW. 32

- 1 The resulting coating was applied, in line on a high speed six
- 2 color lithographic cold web press, to a solid four color print
- 3 pattern, followed by in line infrared drying, and folding.
- 4 Measurements of VOC (by EPA Method 24), offset, and blocking
- 5 limited maximum allowable impression rates (impressions/ minute
- 6 [IPM]), were made. The results are given in Table 6.

7			Table 6	
8	Formulation	<u>1</u>	<u>2</u>	<u>3</u>
9 10 11 12 13	neutralizer coalescent (s) surfactant Formulation	28% ammonia/ 6.00 isopropanol/ 12 Tamol 850/ 2.05	AMP 95 ¹ / 8.00 isopropanol/ 12 Tamol 850/ 2.05	AMP 95/ 8.00 2G/ 4.50 5K/ 0.75
14 15 16	neutralizer coalescent (s)	4 1G/ 6.00 2G/ 4.50	5 1G/ 6.00 2G/ 4.50	6 1G/ 6.00 3C/ 3.65 4C/ 0.85
17 18 19 20	Performance	Tamol 850/ 2.05	5K/ 0.75 <u>2</u>	2K/ 0.75
21 22 23 24	VOC -g/l. max IPM (offset) max IPM (block)	10,150	146 9,235 9,950	27 12,720 14,610
25 26 27 28 29 30 31	VOC -g/l. max IPM (offset) max IPM (block)	4 3 16,670 17,130	5 4 18,000 ² 18,000	6 4 18,000 18,000

- 1 Claims:
- 2 What we claim is:
- 1) Compositions of matter comprising: 3
- essentially nonvolatile reactive amines; 4
- hydroxyl bearing, unsaturated esters and/or ethers and/or . 5
- ether-esters; and/or 6
- combinations of non-hydroxyl bearing, unsaturated esters 7
- and/or ethers and/or ether-esters, and/or saturated hydroxyl
- bearing etherified and/or esterified oligomeric glycols
- 10 and/or oligools.
- 2) Compositions of matter comprising: 11
- volatile amines and/or ammonia neutralizers; 12
- hydroxyl bearing, unsaturated esters and/or ethers and/or 13
- ether-esters; and/or 14
- combinations of non-hydroxyl bearing, unsaturated esters 15
- and/or ethers and/or ether-esters, and/or saturated hydroxyl 16
- bearing etherified and/or esterified oligomeric glycols 17
- and/or oligools. 18
- Compositions of matter comprising: 19
- essentially nonvolatile reactive amines; and 20
- organic solvent coalescents. 21
- Compositions of matter as defined in Claims 1, 2 and 3 having 22
- as an additional component latex resin. 23
- Compositions of matter as defined in Claims 1, 2, 3 and 4 24
- 25 having hypersurfactants as an additional component.
- Compositions of matter as defined in Claim 5 in which the 26

hypersurfactants are derived from titanium or zirconium based organometalics.

7) Compositions of matter as in Claim 4 in which the latex resin is derived from poly vinyl acetate and/or acrylic and/or a copolymer thereof.

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(54) Title: LOW ENVIRONMENTAL TOXICITY LATEX COATINGS

(57) Abstract: Combinations of nonvolatile reactive amines, and hydroxyl bearing, unsaturated esters and/or ethers and/or ethers (and/or combinations of non-hydroxyl bearing, unsaturated esters and/or ethers and/or ether-esters, and saturated hydroxyl bearing etherified and/or esterified oligomeric glycols and/or oligools), as replacements for conventionally employed volatile amines and/or ammonia as neutralizers, and organic solvents as coalescents respectively, has been found to enable the production of economical, low to no VOC acrylic and vinyl copolymer latex based coatings, paints, and inks. Further enhancement may be had by substitution of hypersurfactants, in place of conventional soaps and/or dispersants and/or detergents, in combination with the aforementioned nonvolatile reactive amines, particularly as particulate dispersants in pigmented and/or reinforced coatings.



INTERNATIONAL SEARCH REPORT

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A. CLASSIFICATION OF SUBJECT MATTER							
IPC(7) : A01N 43/16 US CL : 514/451							
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B. FIELDS SEARCHED							
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Documentation searched other than minimum documentation to the	Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched						
Electronic data base consulted during the international search (name	ne of data base and, where practicable, search terms	used)					
C. DOCUMENTS CONSIDERED TO BE RELEVANT							
Category * Citation of document, with indication, where		nt to claim No.					
	X US 4,343,884 A (MULLER et al.) 10 August 1982 (10.08.1982), see entire document. 1-7						
Further documents are listed in the continuation of Box C.	See patent family annex.						
Special categories of cited documents: A document defining the general state of the art which is not considered to be of particular relevance.	later document published after the international fil date and not in conflict with the application but cit principle or theory underlying the invention	ed to understand the					
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"P" document published prior to the international filing date but later than the priority date claimed	"&" document member of the same parent family	}					
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